

States Patent and Trademark Office

Page 1 of

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FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER FILING OR 371 (c) DATE APPLICATION NUMBER

10/802,037

MORRISON & FOERSTER LLP

1650 TYSONS BOULEVARD

03/17/2004

Andreas Bausewein

449122071100

Date Mailed: 06/03/2004

CONFIRMATION NO. 3462

FORMALITIES LETTER

OC000000012849949

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/06/2004 MGEBREM1 00000053 031952

25227

SUITE 300

MCLEAN, VA 22102

10802037

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1051 03 FC:1203

790.00 DA 130.00 DA 300.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$290 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1190 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$290
 - \$290 for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM

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Total Number of Pages in This Submission

| spond to a collection of information unless it displays a valid Olvib control number | | | | | | | |
|--|------------------------|-------------------|--|--|--|--|--|
| | Application Number | 10/802,037 | | | | | |
| | Filing Date | March 17, 2004 | | | | | |
| | First Named Inventor | Andreas BAUSEWEIN | | | | | |
| | Art Unit | 1853 | | | | | |
| | Examiner Name | Not Yet Assigned | | | | | |
| | Attorney Docket Number | 449122071100 | | | | | |

| ENCLOSURES (Check all that apply) | | | | | | | | | |
|--|---|---|--|--|--|--|--|--|--|
| x Fee Transmittal Form | Assignment Papers (for an Application) | After Allowance Communication to Group | | | | | | | |
| Fee Attached | Licensing-related Papers | Appeal Communication to Board of Appeals and Interferences | | | | | | | |
| Amendment/Reply | Petition | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | | | | |
| After Final | Petition to Convert to a Provisional Application | Proprietary Information | | | | | | | |
| Affidavits/declaration(s) | Power of Attorney, Revocation Change of Correspondence Address | Status Letter | | | | | | | |
| x Extension of Time Request | Terminal Disclaimer | X Other Enclosure(s) (please identify below): | | | | | | | |
| Express Abandonment Request | Request for Refund | Declaration and Power of attorney Copy of Notice to File Missing Parts | | | | | | | |
| Information Disclosure Statement | CD, Number of CD(s) | · | | | | | | | |
| Certified Copy of Priority Document(s) | | | | | | | | | |
| Response to Missing Parts/ Incomplete Application | Remarks | | | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | | | |
| ander 07 01 11 1.32 01 1.30 | | | | | | | | | |
| | | | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | | |
| Firm MORRISON & FOERSTER LLP or Individual name Kevin R. Spivak = 43,148 | | | | | | | | | |
| Signature / Carlo | | | | | | | | | |
| Date December 3, 2004 | Date December 3, 2004 | | | | | | | | |

PTO/SB/17 (10-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| FEE | TR | AN | SMI | T | ΓAL |
|-----|-----|----|-----|---|-----|
| 1 | for | FY | 200 | 5 | |

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

| | Application Number | 10/802,037 | | | | | | |
|--|----------------------|-------------------|--|--|--|--|--|--|
| | Filing Date | March 17, 2004 | | | | | | |
| | First Named Inventor | Andreas BAUSEWEIN | | | | | | |
| | Examiner Name | Not yet assigned | | | | | | |
| | Art Unit | 1753 | | | | | | |
| | Attorney Docket No. | 449122071100 | | | | | | |

(Complete (if applicable))

(703) 760-7700

December 3, 2004

Telephone

Date

Complete if Known

| TOTAL AMOUNT OF PAYMENT (\$) 2790.00 | | | | | Attorney Docket No. 449122071100 | | | | | | | | |
|---|--------------|-------------|---|------------------|----------------------------------|-------------|----------------------------|-----------|-----------------------------|---|---|--------------|---------|
| METHOD OF PAYMENT (check all that apply) | | | | | FEE CALCULATION (continued) | | | | | | | | |
| Check Credit Money Other None | | | | | 3. ADDITIONAL FEES | | | | | | | | |
| X Deposit Account: | | | | | | | | | | | | | |
| Deposit | | | Large Entity Small Entity Fee Fee Fee Fee | | | | | | | | | | |
| Account Number 03-1952 | | | | Fee Code | (\$) | Code | (\$) | | Fee Description | | Fee Paid | | |
| Deposit Account Morrison & Foerster LLP | | | | 1051 | 130 | 2051 | 65 | Surcharge | e - late filing fee or oath | | 130.00 | | |
| Name The Director is authorized to: (check all that apply) | | | | | | 1052 | 50 | 2052 | 25 | Surcharge sheet. | e – late provisional filing | fee or cover | |
| | fee(s) inc | | | | verpayments | 1053 | 130 | 1053 | 130 | | ish specification | | |
| X Charge | any addit | lional f | ee(s) or any underp | payment of | fee(s) | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | | | |
| Charge | e fee(s) inc | ficated | below, except for deposit account. | the filing f | | 1804 | 920* | 1804 | 920* | _ | ng publication of SIR prior | | · |
| | | | | | | 1805 | 1,840* | 1805 | 1,840* | , Requesting publication of SIR after Examiner action | | | |
| | | FEE | CALCULATIO | N | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | | | |
| 1. BASIC | FILING | FEE | | | | 1252 | 430 | 2252 | 215 | Extension | for reply within second | month | |
| Large Entity | | | * | | | 1253 | 980 | 2253 | 490 | Extension | for reply within third mo | nth | |
| Fee Fee Code (\$) | Fee | Fee (\$) | Fee Descr | iption | Fee Paid | 1254 | 1,530 | 2254 | 765 | Extension | for reply within fourth m | ionth ' | 1530,00 |
| 1001 790 | 2001 | 395 | Utility filing t | fee | 790.00 | 1255 | 2,080 | 2255 | 1,040 | Extension | for reply within fifth mor | nth | |
| 1002 350 | 2002 | 175 | Design filing | j fee | | 1401 | 340 | 2401 | 170 | Notice of | Appeal | | |
| 1003 550 | 2003 | 275 | Plant filing f | ee | | 1402 | 340 | 2402 | 170 | Filing a br | ief in support of an appe | al | |
| 1004 790 | 2004 | 395 | Reissue filir | ng fee | | 1403 | 300 | 2403 | 150 | Request f | or oral hearing | | |
| 1005 160 2005 80 Provisional filing fee | | 1451 | 1,510 | 1451 | 1,510 | Petition to | institute a public use pro | oceeding | | | | | |
| SUBTOTAL (1) (\$) 790.00 | | | | | | 1452 | 110 | 2452 | 55 | Petition to | revive – unavoidable | | |
| | | | 10172 (1) | Ψ) | 700.00 | 1453 | 1,370 | 2453 | 685 | Petition to | revive - unintentional | | |
| 2. EXTRA | CLAIN | 1 FEE | S FOR UTILI | | REISSUE | 1501 | 1,370 | 2501 | 685 | Utility issu | e fee (or reissue) | | |
| | | | | e from elow | Fee Paid | 1502 | 490 | 2502 | 245 | Design iss | sue fee | | |
| Total Claims | 16 | -20** | = 0 x 1 | 8.00 = | 0.00 | 1503 | 660 | 2503 | 330 | Plant issu | e fee | | |
| Independent Claims | 1 | -3** | = 0 x 8 | 8.00 = | 0.00 | 1460 | 130 | 1460 | 130 | Petitions t | to the Commissioner | | |
| Multiple Depe | endent | | 30 | 00.00 = | 300.00 | 1807 | 50 | 1807 | 50 | Processin | ig fee under 37 CFR 1.17 | 7(q) | |
| Large Entity | Small I | Entity | _ | | | 1806 | 180 | 1806 | 180 | | on of Information Disclos | | |
| Fee Fee Code (\$) | Fee Code | Fee (\$) | Fee D | <u>escriptio</u> | <u>n</u> | 8021 | 40 | 8021 | 40 | | g each patent assignmen times number of properti | | 40.00 |
| 1202 18 1201 88 | 2202 2201 | 9 44 | Claims in excess | - | ess of 3 | 1809 | 790 | 2809 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | | | |
| 1203 300 | 2203 | 150 | Multiple depende | | | 1810 | 790 | 2810 | 395 | For each additional invention to be examined (37CFR 1.129(b)) | | | |
| 1204 88 | 2204 | 44 | ** Reissue indep | endent cla | • | 1801 | 790 | 2801 | 395 | | or Continued Examination | on (RCE) | |
| 1005 15 | | _ | over original p | | - 100 | 1802 | 900 | 1802 | 900 | | or expedited examination | n | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | | | | | ee (spe | | | or a desig | n application | | | |
| | | SUI | BTOTAL (2) | 5) | 300.00 | *Redu | ced by E | Basic Fi | ling Fee | Paid | SUBTOTAL (3) | (\$) | 1700.00 |
| **or number | r previous | ly paid | d, if greater; For R | eissues, s | ee above | | | | | | | | |
| SUBMITTED BY | | | | | | | (Complete (if applicable)) | | | | | | |

Registration No. (Attorney/Agent)

43,148

Signature

Name (Print/Type) Kevin

Spivak